

PATENT APPLICATION

Applicants : Jacki Müller, Achim Zöllkau, Vera Pohl, Ewa
Bednarska and Rainer Süssmann

Application No. :
Filed : Herewith
For : **PLANT PROTECTION AGENTS**

Attorney Docket No. : 81000/3000

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(c))—SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below.
- ☒ an official of the small business concern empowered to act on behalf of
the concern identified below.

NAME OF CONCERN: STEFES AGRO GMBH

ADDRESS OF CONCERN: Ottostrasse 5
D-50170 Kerpen
GERMANY

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties control or have the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled **PLANT PROTECTION AGENTS** by inventors Jacki Müller, Achim Zöllkau, Vera Pohl, Ewa Bednarska and Rainer Süssmann described in:

- ☒ the specification filed herewith.
- ☐ application no. filed on
- ☐ patent no. , issued

81000.3000

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If the rights held by the above-entitled small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27.)

FULL NAME:

ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b).)

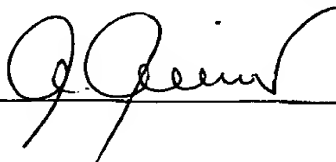
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Manfred WEISER

TITLE OF PERSON OTHER THAN OWNER: Managing Director

ADDRESS OF PERSON SIGNING: Weimarische Strasse 25, D-10715, Berlin, Germany

SIGNATURE



DATE 6 October 1999

81000.3000

COMBINED DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION – MULTIPLE INVENTORS

As below-named inventors, we, Jacki Müller, Achim Zöllkau, Vera Pohl, Ewa Bednarska and Rainer Süßmann hereby declare that:

Our residence, post office address and citizenship are as stated below next to our name.

We believe we are original and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled **PLANT PROTECTION AGENTS**, the specification of which

☒ is attached hereto.

☐ was filed on _____ as Application no. _____.

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

We hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed: **PCT/EP98/01870, filed 31 March 1998; and DE 197 15 639.8, filed 15 April 1997.**

We hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, we acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of prior application and the national or PCT international filing date of this application: **PCT/EP98/01870, filed 31 March 1998.**

We hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

<u>Name</u>	<u>Reg. No.</u>
Ann W. Speckman	31,881
Janet Sleath	37,007
Jim Klaniecki	38,207

Direct all telephone calls to Ann W. Speckman at telephone number (206)269-0565.
Address all correspondence to:

Ann W. Speckman
LAW OFFICES OF ANN W. SPECKMAN
2601 Elliott Avenue, Suite 4185
Seattle, Washington 98121

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Jacki Müller

Inventor's signature: _____ Date: _____

Residence : Im Grisfeld 26
D-50374 Eferstadt
Germany

Citizenship : German

Post Office address: Stefes Agro GMBH
Ottostrasse 5
D-50170 Kerpen
Germany

Full name of joint or second inventor: Achim Zöllkau

Inventor's signature: _____ Date: _____

Residence : Kloстераue 26a
D-53359 Rheinbach
Germany

Citizenship : German

Post Office address: Stefes Agro GMBH
Ottostrasse 5
D-50170 Kerpen
Germany

Full name of joint or third inventor: Vera Pohl

Inventor's signature: _____ Date: _____

Residence : Mühlenstrasse 5
D-50126 Bergheim
Germany

Citizenship : German

Post Office address: Stefes Agro GMBH
Ottostrasse 5
D-50170 Kerpen
Germany

Full name of joint or fourth inventor: Ewa Bednarska

Inventor's signature: _____ Date: _____

Residence : Pillauerstrasse 20
D-50129 Bergheim
Germany

Citizenship : Polish

Post Office address: Stefes Agro GMBH
Ottostrasse 5
D-50170 Kerpen
Germany

Full name of joint or fifth inventor: Rainer Süßmann

Inventor's signature: _____ Date: _____

Residence : Im Stadtfeld 23
D-38304 Wolfenbüttel
Germany

Citizenship : German

Post Office address: Stefes Agro GMBH
Ottostrasse 5
D-50170 Kerpen
Germany

PATENT APPLICATION

ASSIGNMENT

WHEREAS, we, Jacki Müller, Achim Zöllkau, Vera Pohl, Ewa Bednarska and Rainer Süssmann, have invented the subject matter which is described and claimed in the patent application entitled **PLANT PROTECTION AGENTS**, the specification of which is filed herewith, and is assigned as Attorney Docket No. 81000.3000 of the Law Offices of Ann W. Speckman; and

WHEREAS, STEFES AGRO GMBH, a German corporation, having a place of business at Ottostrasse 5, D-50170 Kerpen, Germany, is desirous of acquiring an interest therein;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged that, we, Jacki Müller, Achim Zöllkau, Vera Pohl, Ewa Bednarska and Rainer Süssmann, hereby sell, assign and transfer to STEFES AGRO GMBH the full and exclusive right, title and interest in and to said subject matter, patent application, and patent rights throughout the world, including foreign patent priority rights; said subject matter, application and patents in this or any foreign country and all divisions, continuations, reissues and extensions thereof, to be held and enjoyed by STEFES AGRO GMBH for its own use and benefit, and for its successors and assigns to the full end of the term for which patents may be granted in this or any foreign country, as fully and entirely as the same would have been held by us had this assignment and sale not been made, and covenant that we have full right to do so, and agree that we will communicate to STEFES AGRO GMBH or its respective successors and assigns, any facts known to us respecting said patent application and the subject matter described therein, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths, and do everything possible to aid STEFES AGRO GMBH and its respective successors and assigns to obtain and enforce proper patent protection for said subject matter in this or any foreign country.

SP 1204/96

890.100 A 1 079109 039.772

Executed at _____, Germany, this _____ day of _____, 1999.

Jacki Müller

Executed at _____, Germany, this _____ day of _____, 1999.

Achim Zöllkau

Executed at _____, Germany, this _____ day of _____, 1999.

Vera Pohl

Executed at _____, Germany, this _____ day of _____, 1999.

Ewa Bednarska

Executed at _____, Germany, this _____ day of _____, 1999.

Rainer Süßmann